

03/07/02

03-08-02

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**UTILITY
PATENT APPLICATION
TRANSMITTAL**

(Only for new nonprovisional application under 37 C.F.R. § 1.53(b))

Attorney Docket No.	IN-9518
First Named Inventor	Luis Varela de la Rosa et al.
Filing Date	Thursday, March 07, 2002
Title	HIGH SOLIDS DISPERSION FOR WIDE TEMPERATURE, PRESSURE SENSITIVE ADHESIVE APPLICATIONS
Express Mail Certificate	EU171212451US

03/07/02

10/092679

U.S. PTO

APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents

ADDRESS TO: Assistant Commissioner for Patents
Box Patent Application, Washington, DC 20231

- | | |
|---|---|
| <p>1. <input checked="" type="checkbox"/> Fee Transmittal Form (e.g. PTO/SB/17)
(Submit an original and a duplicate for fee processing)</p> <p>2. <input type="checkbox"/> Applicant claims small entity status.
See 37 CFR 1.27.</p> <p>3. <input checked="" type="checkbox"/> Specification [Total Pages <input type="text" value="34"/>]
-(preferred arrangement set forth below)
- Descriptive title of the invention
- Cross References to Related Application
- Statement Regarding Fed sponsored R & D
- Reference to Microfiche Appendix
- Background of the invention
- Background of the invention
- Background of the invention
- Brief Summary of the invention
- Brief Description of the Drawings (if filed)
- Detailed Description
- Claim(s)
- Abstract of the Disclosure</p> <p>4. <input type="checkbox"/> Drawing(s) (35 U.S.C.113) [Total Sheets <input type="text"/>]</p> <p>5. <input type="checkbox"/> Oath or Declaration [Total Sheets <input type="text"/>]
a. <input type="checkbox"/> Newly executed (original or copy)
b. <input type="checkbox"/> Copy from a prior application (37 CFR § 1.63(d))
(for continuation/divisional with Box 17 completed)
i. <input type="checkbox"/> DELETION OF INVENTOR(S)
Signed statement attached deleting</p> <p>6. <input checked="" type="checkbox"/> Application Data Sheet. See 37 CFR 1.76</p> | <p>7. <input type="checkbox"/> CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)</p> <p>8. Nucleotide and/or Amino Acid Sequence Submission
(if applicable, all necessary)
a. <input type="checkbox"/> Computer Readable Form (CRF)
b. Specification Sequence Listing on:
i. <input type="checkbox"/> CD-ROM or CD-R (2 copies); or
ii. <input type="checkbox"/> paper
c. <input type="checkbox"/> Statements verifying identify of above copies</p> |
|---|---|

ACCOMPANYING APPLICATION PARTS

9. ☐ Assignment Papers (cover sheet & document(s))
10. ☐ 37 CFR§3.73(b) Statement (when there is an assignee) ☐ Power Of Attorney
11. ☐ English Translation Document (if applicable)
12. ☐ Information Disclosure Statement (IDS)/ PTO-1449 ☐ Copies of IDS Citations
13. ☐ Preliminary Amendment
14. ☒ Return Receipt Postcard (MPEP 503)
(Should be specifically itemized)
15. ☐ Certified Copy of Priority Document(s)
(if foreign priority is claimed)
16. ☒ other: ..Nonpublication Request.....

17. If a **CONTINUING APPLICATION**, check appropriate box, and supply the requisite information below and in a preliminary amendment;

☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of prior application No. 0 filed on _____

Prior application information:

Examiner

Group/Art Unit:

For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application from which an oath or declaration is supplied under Box 4b, is considered to be part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

18. CORRESPONDENCE ADDRESS

☒ Customer Number or Bar Code Label

or ☐ Correspondence address below



26922

PATENT-TRADEMARK OFFICE

Country	USA	Telephone	(248) 948-2355	Fax	(248) 948-2093
Name (print Type)	Michael F. Morgan	Registration No. (Attorney Agent)	42,906		
Signature	<i>Michael Morgan</i>	Date	March 7, 2002		

FEE TRANSMITTAL Patent fees are subject to annual revision on October 1. These are the fees effective October 1, 2001	Attorney Docket No.	IN-9518
	First Named Inventor	Luis Varela de la Rosa et al.
	Filing Date	Thursday, March 07, 2002
	Title	High Solids Dispersion For Wide Temperature, Pressure Sensitive Adhesive Applications
	Express Mail Certificate	EU171212451US

TOTAL AMOUNT OF PAYMENT	\$1,568.00
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METHOD OF PAYMENT	FEE CALCULATION
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1. <input checked="" type="checkbox"/> The Commissioner is hereby authorized to change indicated fees and credit any over payments to:	3. ADDITION FEES
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Deposit Account Number 23-3425 Deposit Account Name BASF Corporation <input checked="" type="checkbox"/> Change Any Additional Fee <input checked="" type="checkbox"/> Charge the Issue Fee Set in 37 CFR § 1.16 and 1.17 1.18 at the Mailing of the Notice of Allowance 2. <input type="checkbox"/> Payment Enclosed: <input type="checkbox"/> Check <input type="checkbox"/> Money Order <input type="checkbox"/> Other	<table border="1"> <thead> <tr> <th>Large Fee Code</th> <th>Entity Fee (\$)</th> <th>Fee Description</th> <th>Fee Paid</th> </tr> </thead> <tbody> <tr><td>105</td><td>130</td><td>Surcharge -late filing fee or oath</td><td></td></tr> <tr><td>127</td><td>50</td><td>provisional ailing or cover sheet</td><td></td></tr> <tr><td>139</td><td>130</td><td>Non-English specification</td><td></td></tr> <tr><td>147</td><td>2,520</td><td>For filing request for examination</td><td></td></tr> <tr><td>112</td><td>920*</td><td>Requesting publication of SIR prior to Examiner action</td><td></td></tr> <tr><td>113</td><td>1,840</td><td>Requesting publication of SIR after Examiner action</td><td></td></tr> <tr><td>115</td><td>110</td><td>Extension for reply within first month</td><td></td></tr> <tr><td>116</td><td>400</td><td>Extension for reply within second month</td><td></td></tr> <tr><td>117</td><td>920</td><td>Extension for reply within third month</td><td></td></tr> <tr><td>118</td><td>1,440</td><td>Extension for reply within fourth month</td><td></td></tr> <tr><td>128</td><td>1,960</td><td>Extension for reply within fifth month</td><td></td></tr> <tr><td>119</td><td>320</td><td>Notice of Appeal</td><td></td></tr> <tr><td>120</td><td>320</td><td>Filing a brief in support of an appeal</td><td></td></tr> <tr><td>121</td><td>270</td><td>Request for oral hearing</td><td></td></tr> <tr><td>138</td><td>1,510</td><td>Petition to institute a public use proceeding</td><td></td></tr> <tr><td>140</td><td>110</td><td>Petition to revive-unavoidable</td><td></td></tr> <tr><td>141</td><td>1,280</td><td>Petition to revive-unintentional</td><td></td></tr> <tr><td>142</td><td>1,280</td><td>Utility issue fee (or reissue)</td><td></td></tr> <tr><td>143</td><td>450</td><td>Design issue fee</td><td></td></tr> <tr><td>144</td><td>670</td><td>Plant issue fee</td><td></td></tr> <tr><td>122</td><td>130</td><td>Petition to the Commissioner</td><td></td></tr> <tr><td>123</td><td>50</td><td>Petition related to provisional application</td><td></td></tr> <tr><td>126</td><td>240</td><td>Submission of information Disclosure Statement</td><td></td></tr> <tr><td>581</td><td>40</td><td>Recording each patent Assignment per property (time number of properties)</td><td></td></tr> <tr><td>146</td><td>790</td><td>Filing a submission after final rejection</td><td></td></tr> <tr><td>149</td><td>790</td><td>For each additional invention to be examined (37 CFR 1.129(b))</td><td></td></tr> <tr><td>Other</td><td>fee</td><td>(specify) _____</td><td></td></tr> </tbody> </table>	Large Fee Code	Entity Fee (\$)	Fee Description	Fee Paid	105	130	Surcharge -late filing fee or oath		127	50	provisional ailing or cover sheet		139	130	Non-English specification		147	2,520	For filing request for examination		112	920*	Requesting publication of SIR prior to Examiner action		113	1,840	Requesting publication of SIR after Examiner action		115	110	Extension for reply within first month		116	400	Extension for reply within second month		117	920	Extension for reply within third month		118	1,440	Extension for reply within fourth month		128	1,960	Extension for reply within fifth month		119	320	Notice of Appeal		120	320	Filing a brief in support of an appeal		121	270	Request for oral hearing		138	1,510	Petition to institute a public use proceeding		140	110	Petition to revive-unavoidable		141	1,280	Petition to revive-unintentional		142	1,280	Utility issue fee (or reissue)		143	450	Design issue fee		144	670	Plant issue fee		122	130	Petition to the Commissioner		123	50	Petition related to provisional application		126	240	Submission of information Disclosure Statement		581	40	Recording each patent Assignment per property (time number of properties)		146	790	Filing a submission after final rejection		149	790	For each additional invention to be examined (37 CFR 1.129(b))		Other	fee	(specify) _____	
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Other	fee	(specify) _____																																																																																																															

FEE CALCULATION

1. BASIC FILING FEE Large Fee Code Entity Fee (\$) 101 740 Utility filing fee \$740.00 106 330 Design filing fee 107 540 Plant filing fee 108 790 Reissue filing fee 114 160 Provisional filing fee <div style="text-align: right;">SUBTOTAL \$740.00</div>			
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2. EXTRA CLAIM FEES

Total Claims 66 -20** = 46 x 18 = \$828.00 Independent Claims 01 - 3** = x 84 = Multiple Dependent 280 = **Or number previously paid, if greater; For Reissues, see below Large Entity Fee Fee Code (\$) 103 18 Claims in excess of 20 102 80 Independent claims in excess of 3 104 270 Multiple dependent claims, if not paid 109 82 **Reissue independent claims over original patent 110 22 **Reissue claims in excess of 20 and over original patent <div style="text-align: right;">SUBTOTAL (2) (\$828.00)</div>	119 320 Notice of Appeal 120 320 Filing a brief in support of an appeal 121 270 Request for oral hearing 138 1,510 Petition to institute a public use proceeding 140 110 Petition to revive-unavoidable 141 1,280 Petition to revive-unintentional 142 1,280 Utility issue fee (or reissue) 143 450 Design issue fee 144 670 Plant issue fee 122 130 Petition to the Commissioner 123 50 Petition related to provisional application 126 240 Submission of information Disclosure Statement 581 40 Recording each patent Assignment per property (time number of properties) 146 790 Filing a submission after final rejection 149 790 For each additional invention to be examined (37 CFR 1.129(b)) Other fee (specify) _____ Reduced By Basic Fee Paid Filing
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Country	USA	Telephone	(248) 948-2355	Fax	(248) 948-2093
Name (print Type)	Michael F. Morgan	Registration No. (Attorney Agent)	42,906		
Signature	<i>Michael Morgan</i>	Date	March 7, 2002		

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

NONPUBLICATION REQUEST UNDER 35 U.S.C. 122(b)(2)(B)(i)	First Named Inventor	Luis Varela de la Rosa, et al.
	Title	High solids dispersion for wide temperature, pressure sensitive adhesive applications
	Atty Docket Number	IN-9518

I hereby certify that the invention disclosed in the attached application **has not and will not be** the subject of an application filed in another country, or under a multilateral agreement, that requires publication at eighteen months after filing.

I hereby request that the attached application not be published under 35 U.S.C. 122(b).

March 7, 2002

Date

Michael F. Morgan

Signature

Michael F. Morgan, 42,906

Typed or printed name

This request must be signed in compliance with 37 CFR 1.33(b) and submitted with the application **upon filing**.

Applicant may rescind this nonpublication request at any time. If applicant rescinds a request that an application not be published under 35 U.S.C. 122(b), the application will be scheduled for publication at eighteen months from the earliest claimed filing date for which a benefit is claimed.

If applicant subsequently files an application directed to the invention disclosed in the attached application in another country, or under a multilateral international agreement, that requires publication of applications eighteen months after filing, the applicant **must** notify the United States Patent and Trademark Office of such filing within forty-five (45) days after the date of the filing of such foreign or international application. **Failure to do so will result in abandonment of this application (35 U.S.C. 122(b)(2)(B)(iii)).**

Burden Hour Statement: This collection of information is required by 37 CFR 1.213(a). The information is used by the public to request that an application not be published under 35 U.S.C. 122(b) (and the PTO to process that request). Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This form is estimated to take 6 minutes to complete. This time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS SEND TO Assistant Commissioner for Patents, Washington, DC 20231.

4009665-0000

PATENT

(Practitioner's Docket No. IN-9518)

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of

Luis Varela de la Rosa et al.

US Serial No. Herewith

Filed: Thursday, March 07, 2002

Group Art Unit: Not Assigned

Examiner: Not Assigned

For: HIGH SOLIDS DISPERSION FOR WIDE TEMPERATURE, PRESSURE SENSITIVE
ADHESIVE APPLICATIONS

Commissioner of Patents
Washington, D.C. 20231

EXPRESS MAIL CERTIFICATE

"Express Mail" label number **EU171212451US** Date of Deposit **March 7, 2002**

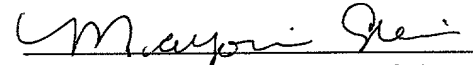
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☒ Nonpublication Request Under 35. U.S.C. 122(b)(2)(B)(i)

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Marjorie Ellis

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PATENT

(Practitioner's Docket No. IN-9518)

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of

Luis Varela de la Rosa et al.

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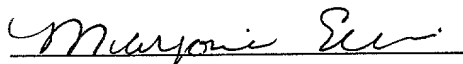
I hereby certify that the following attached paper or fee

x	Specification on	20	page(s)
x	Claims on	13	page(s)
x	Abstract on	01	page(s)
	Total pages	34	page(s)

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Marjorie Ellis

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Signature of person mailing paper or fee)

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complete application, specification and drawings, responses to rejection or refusal, notice of appeal, etc. if the serial number of the application is not known, the identification should include at least the name of the inventor(s) and the title of the invention.

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"Express Mail" label number **EU171212451US** Date of Deposit **March 7, 2002**


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☒ Patent Application Data Sheet

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Marjorie Ellis

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